

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FEE RECORD SHEET

10/12/2001 EHAILE1 00000006 09973231

01 FC:101 02 FC:102 03 FC:103

740.00 OP 252.00 OP 252.00 OP

HEMBE

14

D

Name

Address City

Please type a plus sign (+) inside this box

PTO/SB/05 (03-01) Approved for use through 10/31/2002. OMB 0651-0032

Assistant Commissioner for Patents

Zip Code

44114

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

Express Mail Label No. EL798606312US

UTILITY PATENT APPLICATION TRANSMITTAL

F0591 Attorney Docket No. Bhanwar Singh First Inventor SYSTEM AND METHOD FOR PROCESS...

(Only for new nonprovisional applications under 37 CFR 1.53(b)) **APPLICATION ELEMENTS**

ADDRESS TO: Box Patent Application See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or it an original and a duplicate for fee processing, Computer Program (Appendix) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (preferred arrangement set forth below) (if applicable, all necessary) 3. Computer Readable Form (CRF) Descriptive title of the invention Specification Sequence Listing on: Cross Reference to Related Applications i. CD-ROM or CD-R (2 copies); or Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, ii. Daper or a computer program listing appendix

- Background of the Invention Statements verifying identity of above copies - Brief Summary of the Invention ACCOMPANYING APPLICATION PARTS - Brief Description of the Drawings (if filed) - Detailed Description Assignment Papers (cover sheet & document(s)) - Claim(s) 37 CFR 3.73(b) Statement Power of - Abstract of the Disclosure 10 (when there is an assignee) Attorney 23 English Translation Document (if applicable) 4. 🔽 Drawing(s) (35 U.S.C. 113) [Total Sheets Copies of IDS Information Disclosure 12. 5. Oath or Declaration [Total Pages Citations Statement (IDS)/PTO-1449 Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed) **Preliminary Amendment** 13. Return Receipt Postcard (MPEP 503) 14. (Should be specifically itemized) Certified Copy of Priority Document(s) (if foreign priority is claimed) **DELETION OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR Nonpublication Request under 35 U.S.C. 122 1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Application Data Sheet. See 37 CFR 1.76 Other: Express Mail Certificate 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No.:_ Prior application information: Examiner Group Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS (Insert Customer No. or Attach bar code label here) Customer Number or Bar Code Label Correspondence address below

Country		Telephone	216.696.8730	Fax	216.696.873	
Name (Print/Type)	Himanshu S. Amin	Regi	istration No. (Attorne	y/Agent)	40,894	
Signature	7e-	1-1		Date	October 9, 2001	

State

Ohio

1900 E. 9th Street, 24th Floor, National City Center

Himanshu S. Amin Amin & Turocy, LLP

Cleveland

estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

1997321.1009C1

PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
Frademark Office; U.S. DEPARTMENT OF COMMERCE
formation unlose it displays the CMD

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMME Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control num							OF COMMERC	
		Complete if Known						
FEE TRANSMITTAL			Application Number					
for FY 2002		Filin	Filing Date		Н	Herewith		
101112002		First	Nam	ed In	ventor B	hanwar Singh	l	
Patent fees are subject to annual revision.		Exa	miner	Nam	e			
		Gro	ир Аг	t Unit			-	
TOTAL AMOUNT OF PAYMENT (\$) 1,284.00		Atto	mey l	Docke	t No. F(0591		
METHOD OF PAYMENT	Г				EE CALC	ULATION (co	antinuo d\	
1 The Commissioner is hereby authorized to charge	3. A	DDIT	ION	_		OLATION (CC	onunuea)	
indicated fees and credit any overpayments to: Deposit		Larg	je	Sm				
Account Number 50-1603	Fee	Enti Fee	ty Fee	Ent Fee		Ess Desseintin		Fac D=14
Deposit Account Amin & Turocy IIP	Cod	e (\$)	Cod	e (\$)		Fee Description		Fee Paid
Account Name Amin & Turocy, LLP	105	130	205	65		- late filing fee or		
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127	50	227	25	Surcharge - cover sheet	- late provisional fi t	iling fee or	
Applicant claims small entity status.	139	130	139	130	Non-English	h specification		
See 37 CFR 1.27 2. Payment Enclosed:	147	2,520	147	2,520	For filing a	request for ex par	te reexamination	
Check Credit card Money	112	920*	112	920*				
FEE CALCULATION	113	1,840*	113	1,840	* Requesting	publication of SIF	R after	
1. BASIC FILING FEE	115	110	215	55	Examiner action 55 Extension for reply within first month			
Large Entity Small Entity	116	400	216	200		or reply within sec		
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117	920	217	460	Extension fo	or reply within thire	d month	
101 740 201 370 Utility filing fee 740.00	118	1,440	218	720	Extension for	or reply within fou	rth month	
106 330 206 165 Design filing fee	128	1,960	228	980	Extension fo	or reply within fifth	month	
107 510 207 255 Plant filing fee	119		219	160	Notice of Ap	opeal		
108 740 208 370 Reissue filing fee	120 121			160		f in support of an a	appeal	
114 160 214 80 Provisional filing fee		280 221 140 Request for oral hearing 3 1,510 138 1,510 Petition to institute a public use proceeding						
SUBTOTAL (1) (\$) 740.00	140		240	55		evive - unavoidable	- I	
2. EXTRA CLAIM FEES	141	1,280				evive - unintention	- 1	
Fee from Ext <u>ra Claims below Fee Paid</u>	142			640		fee (or reissue)	<u>.</u>	
Total Claims 34 -20** = 14 X 18 = 252	143	460	243	230	Design issue			
Claims 6 - 3** = 3 × 84 = 252 Multiple Dependent				310	Plant issue f	sue fee		
=	122			130		he Commissioner	Г	
Large Entity Small Entity	123 126		123 126	50 180		ee under 37 CFR		
Fee Fee Fee Fee Description Code (\$) Code (\$)	581		581	40	_	of Information Disc		40.00
103 18 203 9 Claims in excess of 20	501	40	301	40	property (tim	ach patent assigni les number of pro	perties)	40.00
102 84 202 42 Independent claims in excess of 3 104 280 204 140 Multiple dependent claim if not paid	146	740	246	370	Filing a subm (37 CFR § 1.	nission after final r	rejection	
109 84 209 42 ** Reissue independent claims	149	740	249	370	For each add	ditional invention t 7 CFR § 1.129(b)	to be	
over original patent 110 18 210 9 ** Reissue claims in excess of 20	179	740	279	370		Continued Examin	1	
and over original patent						expedited examin	` ' -	
SUBTOTAL (2) (\$) 504.00					of a design a	application	-	
005101AE (2)			ecify)				(c) 40.0	
**or number previously paid, if greater; For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$\\$\)40.00								
SUBMITTED BY						Complete (if	applicable)	
Name (PrintlType) Himanshu S. Amin, Esq.		gistrat torney/A		40	,894	Telephone	(216) 696-8	730
Signature		7		-		Date	October 9, 2	001
WARNING Information on this form								

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

		PTO/SB/35 (11-00)
	Approved for use through 10/31/20(
U.S. Patent and T	rademark Office; U. S. DEPARTMEN	T OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor		Bhanwar Singh		
Title	SYSTEM AND	METHOD FOR PROCESS		
Atty D	ocket Number	F0591		

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

October 9, 2001

Date

Signature

Himanshu S. Amin (Reg. No. 40,894)

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Atty. Docket No. F0591

SYSTEM AND METHOD FOR PROCESS MONITORING OF POLYSILICON ETCH

by

Bhanwar Singh, Bharath Rangarajan, and Michael K. Templeton

CERTIFICATION

I hereby certify that the attached patent application (along with any other paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on this date <u>October 9, 2001</u>, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number <u>EL798606312US</u> addressed to the: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Himanshu S. Amin	
(Typed or Printed Name of Person Mailing Paper)	

(Signature of Person Mailing Paper)

S:\HAM\AMD\P640us\expresscover.wpd